

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

LAWRENCE E. JAFFE PENSION PLAN, On
Behalf of Itself and All Others Similarly Situated,

Plaintiff,

vs.

HOUSEHOLD INTERNATIONAL, INC., et al.,

Defendants.

Lead Case No. 02-C-5893
(Consolidated)

CLASS ACTION

The Honorable Jorge L. Alonso

DOCKETING STATEMENT

Objecting class member Kevin P. McDonald hereby files his Docketing Statement pursuant to Circuit Rule 3(c):

I. DISTRICT COURT JURISDICTION

The United States District Court for the Northern District of Illinois has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. § 1331 (federal question), § 27 of the Securities Exchange Act of 1934, 15 U.S.C. § 78aa, and § 22 of the Securities Act of 1933, 15 U.S.C. § 77v.

HSBC acquired Defendant Household International, Inc. on March 28, 2003, which was merged in 2005 with a subsidiary company that became the HSBC Finance Corporation, a corporation organized under the laws of Delaware with its principal place of business in Mettawa, Illinois.

II. APPELLATE COURT JURISDICTION

The United States Court of Appeals for the Seventh Circuit has jurisdiction over this appeal pursuant to 28 U.S.C. § 1291 and 28 U.S.C. § 1294. The final Judgment was entered by the District Court on November 10, 2016. Mr. McDonald's Notice of Appeal was timely filed with the District Court on December 8, 2016.

III. THIS IS AN APPEAL OF AN IMMEDIATELY APPEALABLE FINAL JUDGMENT

As noted above, a final appealable judgment was entered by the District Court on November 10, 2016. This is a civil appeal as a matter of right pursuant to Federal Rule of Appellate Procedure 3(a).

IV. PRIOR OR RELATED APPELLATE PROCEEDINGS.

There was a prior appeal in this case captioned *Glickenhau Institutional Group v. Household International Inc.*, 787 F.3d 408 (7th Cir. 2015); U.S. Court of Appeals for the Seventh Circuit, No. 13-3532. The prior appeal was initiated by Defendant Household International, Inc. concerning executives' entitlement to a new trial over whether "firm-specific, non-fraud factors" contributed to a plunge in Household's share price that was the basis for the shareholder lawsuit. The instant appeal is brought by a class member who objects to the fairness, adequacy, and reasonableness of a class settlement negotiated after remand, and the reasonableness of the amount of fees awarded to plaintiffs' counsel.

V. ADDITIONAL REQUIREMENTS OF CIRCUIT RULE 3(c)

This is a civil case that does not involve criminal convictions. 28 U.S.C. § 1915(g) is inapplicable. None of the parties to the litigation appear in an official capacity. This case does not involve a collateral attack on a criminal conviction.

Date: December 8, 2016

Respectfully submitted,

s/ John W. Davis

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Attorney for class member Kevin P. McDonald

CERTIFICATE OF SERVICE

I hereby certify that on December 8, 2016, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the email addresses for counsel of record denoted on the attached Service List. I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Date: December 8, 2016

s/ John W. Davis

John W. Davis

Jaffe v. Household Int'l, Inc., No. 02-5893 (N.D. Ill.)

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